



# UN DPRK sanctions regime: Transportation

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## The DPRK sanctions regime

Chapter VII of the Charter of the United Nations, taking measures under Article 41

**The DPRK ... involved in activities, or the transport of items, prohibited by resolutions... proceeds of the DPRK's trade in sectoral goods .... contribute to the DPRK's nuclear weapons and ballistic missile program**

- **Direct or indirect supply, sale or transfer to the DPRK of all crude oil, through State's territories or by nationals, or using their vessels, aircraft, pipelines, rail lines, or vehicles and whether or not originating in their territories, is prohibited [unless pre-approved]**

UNSCR 2397 (2017)

- **The 1718 Committee may designate vessels transporting prohibited items from the DPRK – subject to port entry ban**

UNSCR 2371 (2017) and paragraph 6 of 2375 (2017)



## The DPRK sanctions regime: inspect!

- **Deceptive maritime practices** require Member States to **seize, inspect and freeze** any vessel in their ports and territorial waters for involvement in **prohibited activities**
- **Inspect cargo to/from DPRK** (directly or indirectly or brokered by the DPRK ),
  - ✓ **transiting or within state's territory**, including airports, seaports and free trade zones,
  - ✓ **or transported on DPRK flagged vessels or aircraft**

UNSCR 2270, 2321 (2016) and 2375 (2017)



## The DPRK sanctions regime: transport



**Nationals and entities prohibited from registering vessels in the DPRK, obtaining authorization to use the DPRK flag or to charter DPRK vessels**

UNSCR 2270, 2321 (2016) and 2397 (2017)



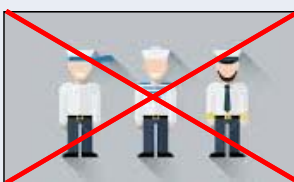
**Prohibited from owning, leasing, operating, any classification, certification or associated services**

UNSCR 2270 and 2321 (2016)



**Prohibited from providing insurance or re-insurance services for any vessel flagged, owned, controlled or operated by the DPRK**

UNSCR 2321 (2016), 2397 (2017)



**Prohibited from procuring DPRK crews for aircraft or vessels**

UNSCR 2270 and 2321 (2016)



## The DPRK sanctions regime: transport

- DPRK-flagged, controlled, chartered, or operated vessels intentionally disregard requirements to operate their automatic identification systems (AIS) to evade UNSCR sanctions monitoring by turning off such systems to mask their full movement history
- Contracts – include provisions for AIS obligations for monitoring and daily reports for charters



UNSCR 2397 (2017)

13 Feb 2018  
Source: Japan MoD



## Prohibited & illicit exports and imports

- Ship-to-ship transfers to or from DPRK-flagged vessels of any goods or items to or from the DPRK
- Illicit exports of coal and other prohibited items as well as illicit imports of all refined petroleum products, including diesel and kerosene, aviation fuel  
[trans-shipment of Russia-origin coal to other countries through the Russia-DPRK Rajin-Khasan port and rail project, is permitted]
- Restrictions on oil and refined petroleum products - exports must be reported
- Full ban on the supply, sale or transfer of all condensates and natural gas liquids to the DPRK

UNSCR 2375 (2017) and 2397 (2017)

**Heightened vigilance & due diligence!**





## The DPRK sanctions regime: transport

Lastly, DPRK may not purchase:

- New helicopters or new or used vessels
- Sell or transfer fishing rights
- Transportation vehicles (HS codes 86 through 89)  
[Excl spares for civilian passenger aircraft]
- Member States [companies] should improve mutual information-sharing on suspected attempts by the DPRK to supply, sell, transfer or procure illicit cargo

**Panel of Experts directed to closely monitor the implementation efforts of all Member States to provide assistance and ensure full and global compliance**

UNSCR 2397 (2017)  
2375 (2017)



## The DPRK sanctions regime: transport



Lastly, by implementing effective export and import controls you are not only complying with your country's international obligations, you are contributing to the regions' security.



**Thank You!**

**For more information:**

**<https://www.un.org/sc/suborg/en/sanctions/1718>**

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