

# Internal Compliance Program

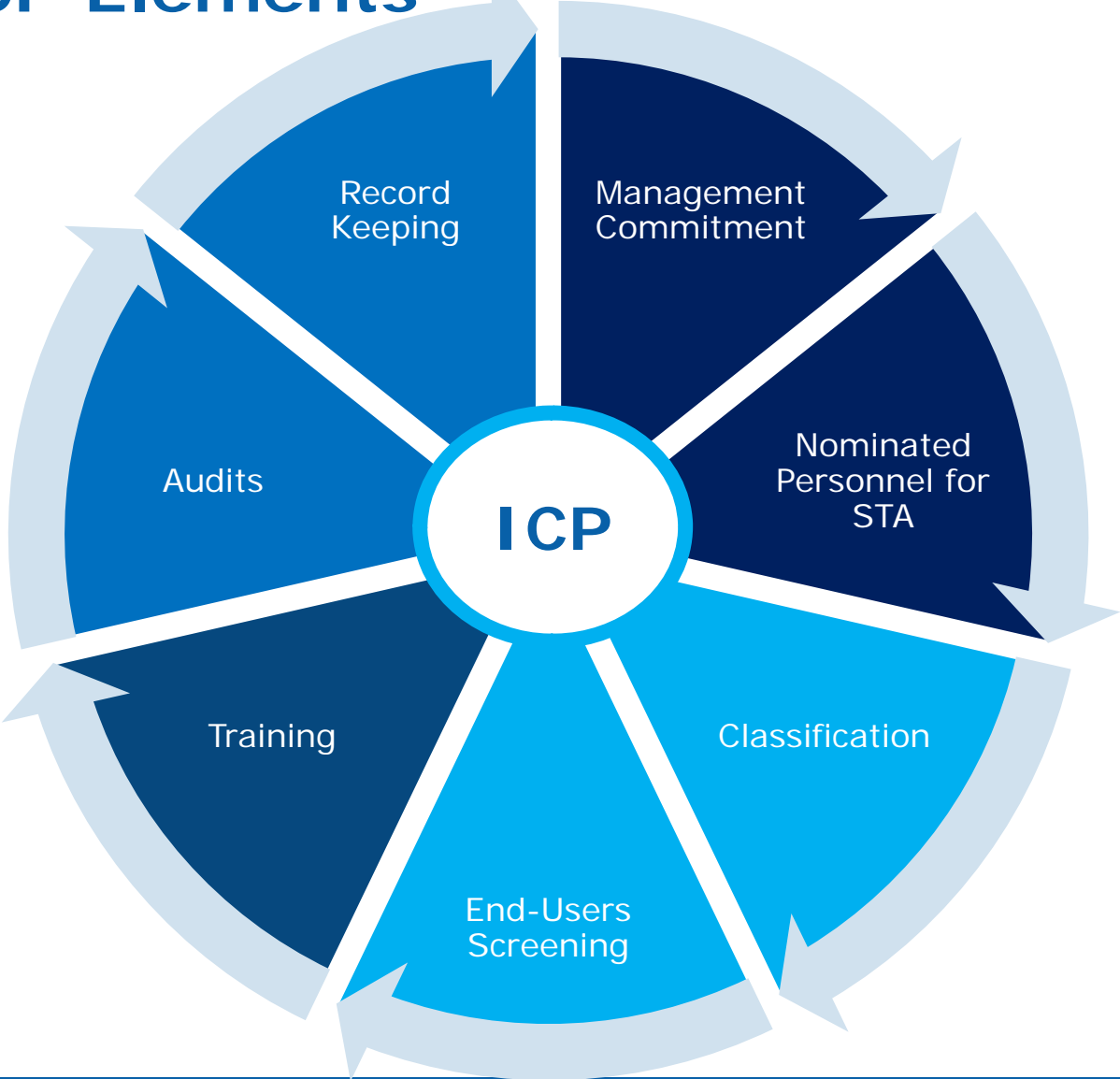
Gareth Lian

Intel

November 17, 2011



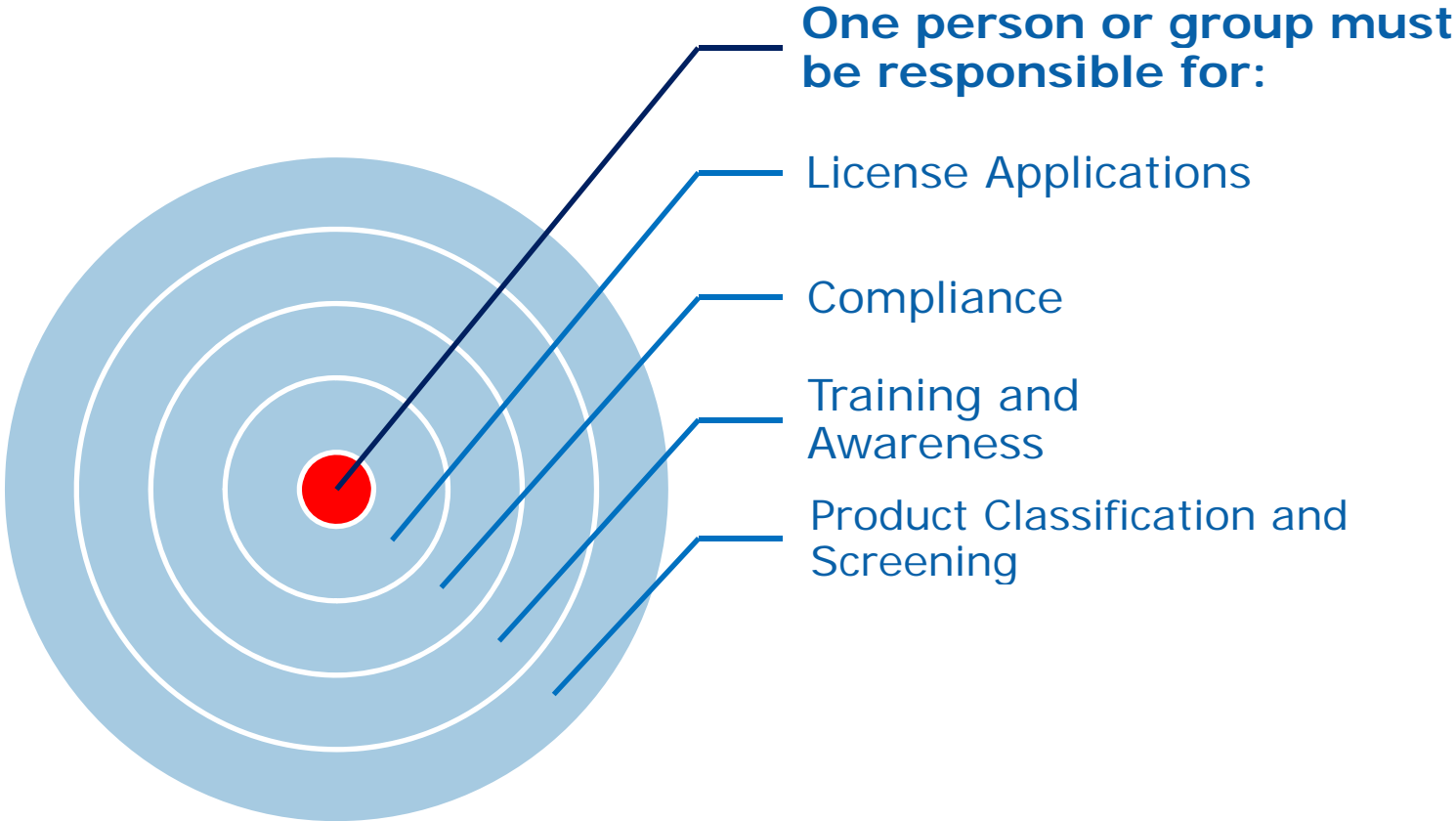
# Main ICP Elements



# Management Commitment

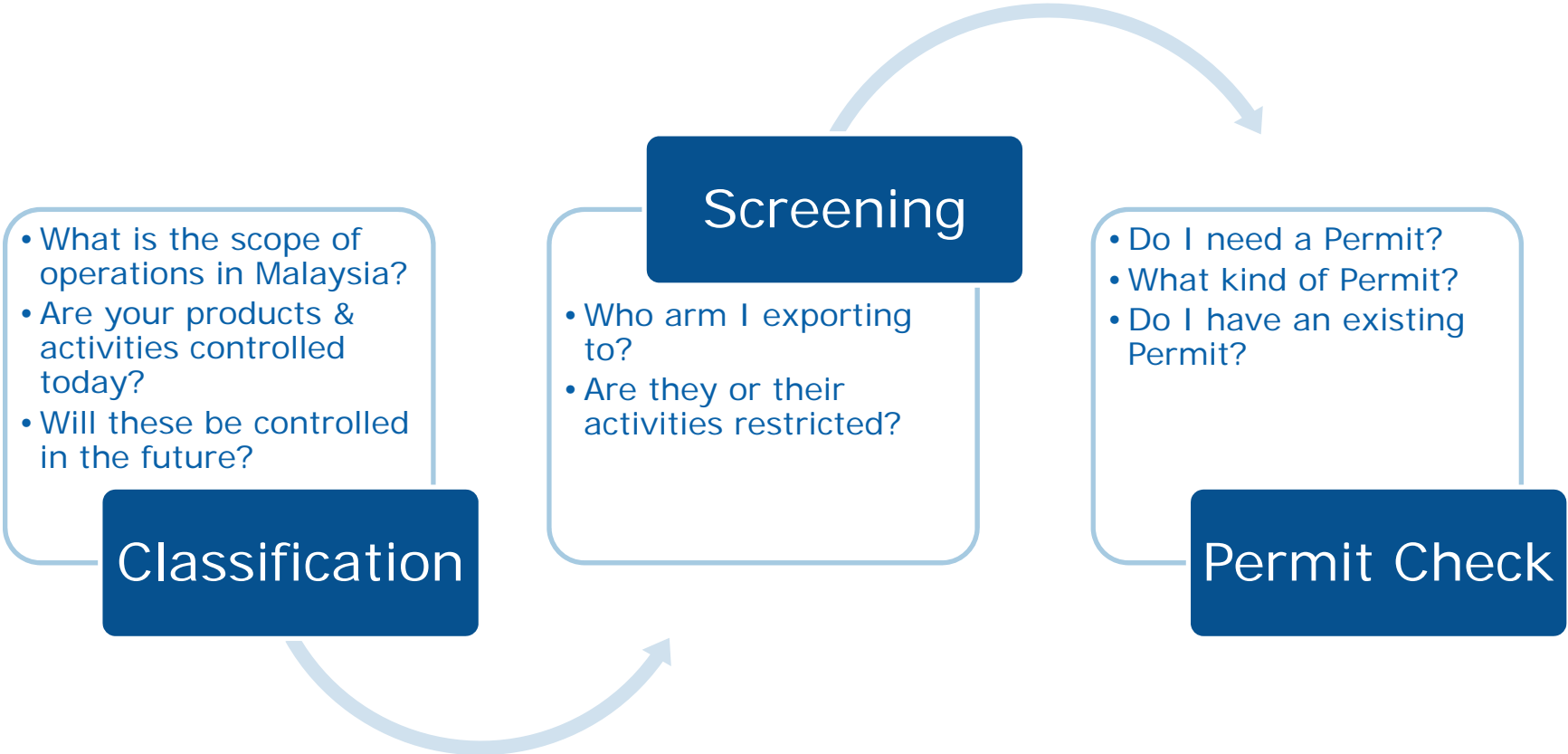


# Nominated Personnel for STA



# Classification and End User Screening

*You don't know what you don't know!*



# Training

**Reach**



**Depth**



# Internal Audit & Record Keeping

## Internal Audit

- Annually
- Specific business groups
- Specific business processes
- Automation
- End User Sales Transactions

## Record Keeping

- Minimum of 7 – 10 years
- Includes digital and hard copy information
- Maintained on servers or kept off-site
- Suppliers are also contractually required to retain digital and/or hard copy information

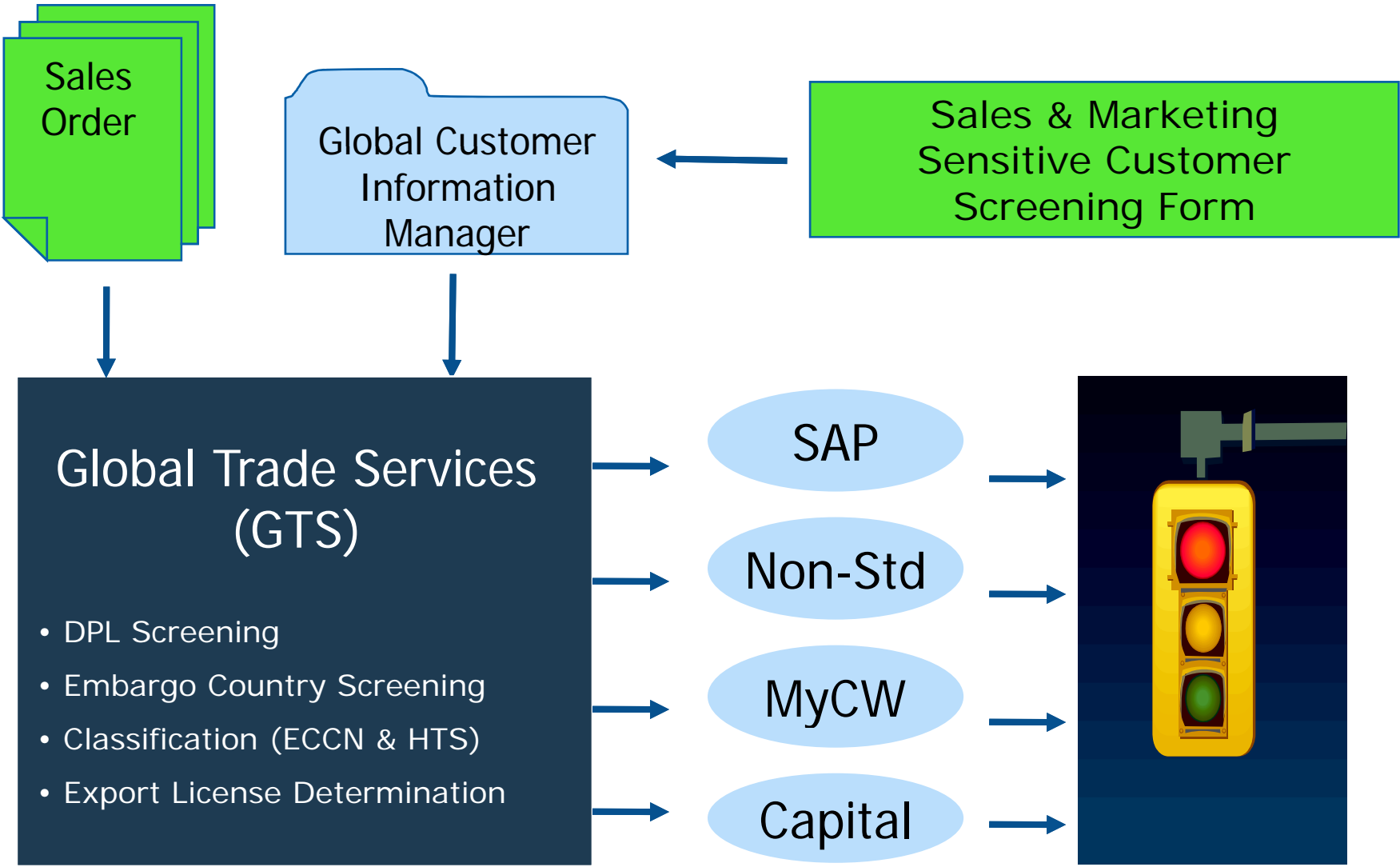
# Stakeholder Buy-In & Gate Keeping

- Once you know then it is important that you manage your key stakeholders.

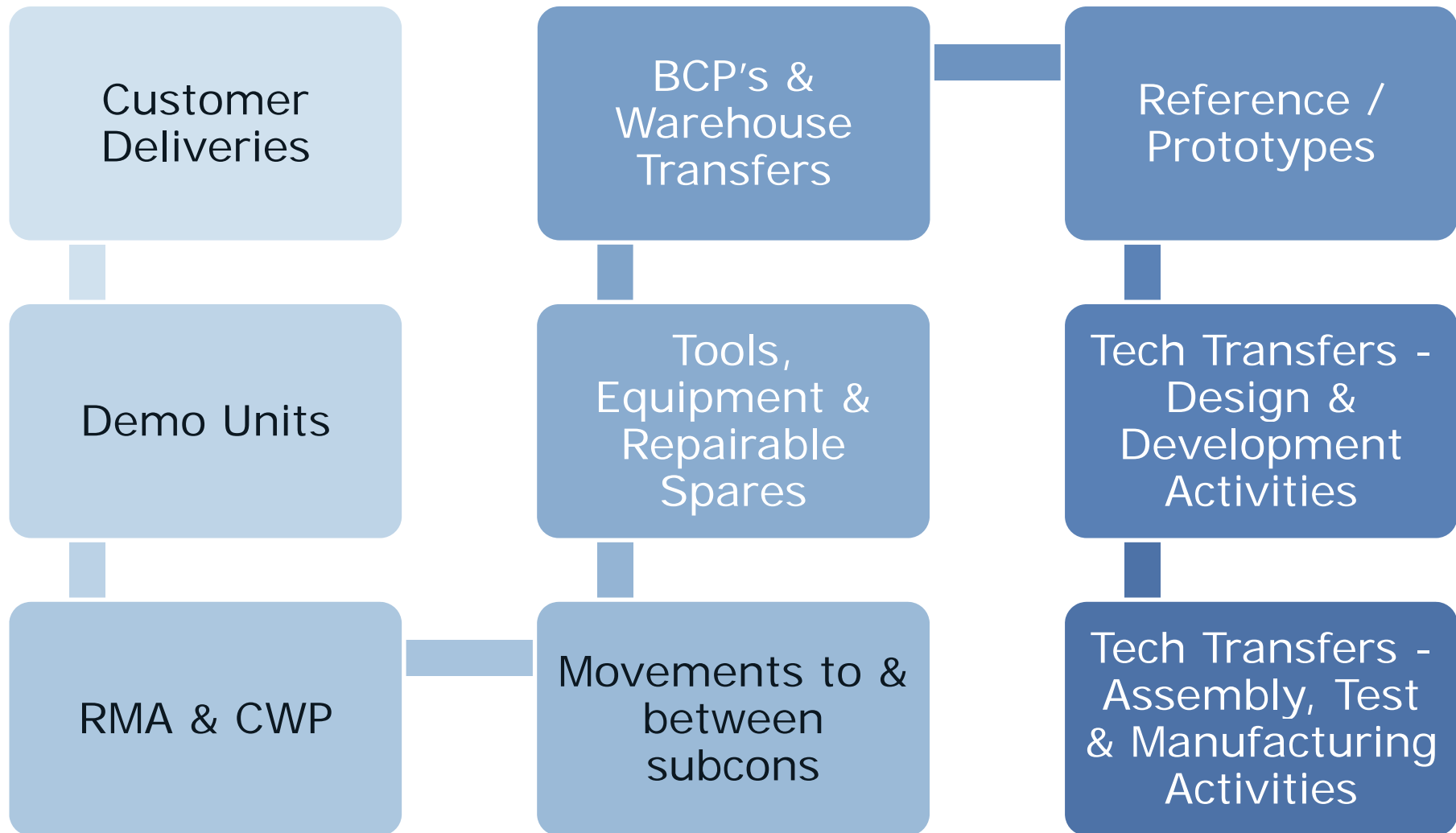




# Enterprise System Flow



# 9 Things I like to Worry About



# Key Take Away

Management Buy-In is Key

Whole company responsibility to ensure compliance

Specific Department/Personnel need to drive and enforce processes

Controls

Automation

Internal  
Audit

Record  
retention



**Thank You**