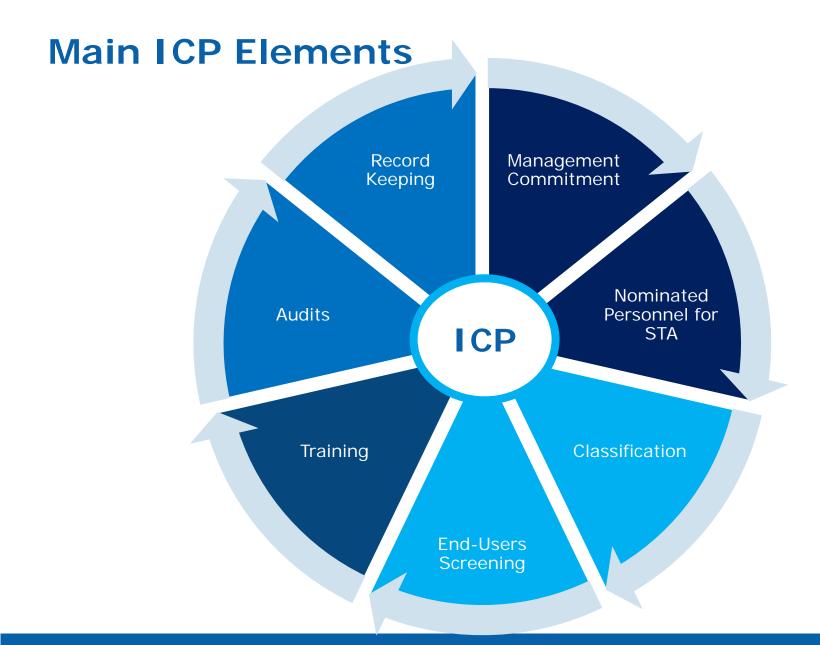
# Internal Compliance Program

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### **Management Commitment**

Complete support & resources

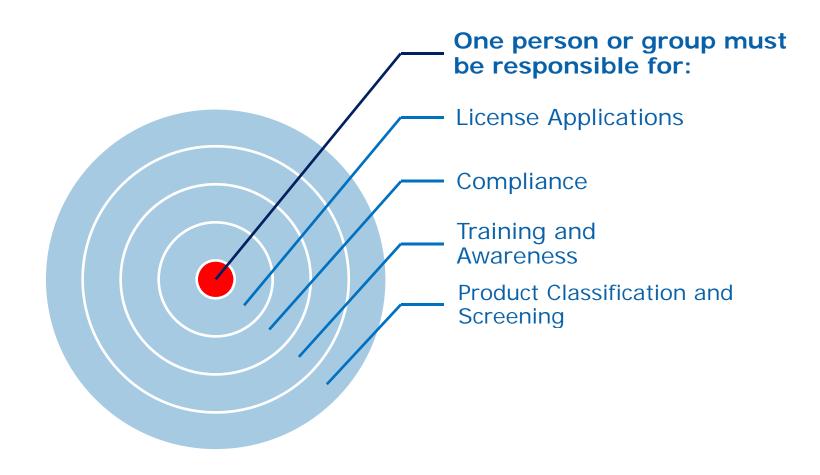
Establish a periodic management review committee

Drive compliance policy and processes

Top Management Buy-In Key
Performance
Indicators



#### **Nominated Personnel for STA**





### Classification and End User Screening

You don't know what you don't know!

- What is the scope of operations in Malaysia?
- Are your products & activities controlled today?
- Will these be controlled in the future?

Classification

### Screening

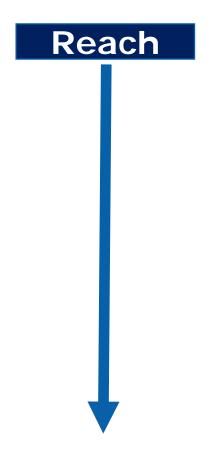
- Who arm I exporting to?
- Are they or their activities restricted?

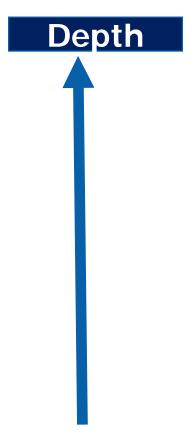
- Do I need a Permit?
- What kind of Permit?
- Do I have an existing Permit?

Permit Check



## **Training**







### Internal Audit & Record Keeping

## Internal Audit

- Annually
- Specific business groups
- Specific business processes
- Automation
- End User Sales Transactions

# Record Keeping

- Minimum of 7 10 years
- Includes digital and hard copy information
- Maintained on servers or kept off-site
- Suppliers are also contractually required to retain digital and/or hard copy information



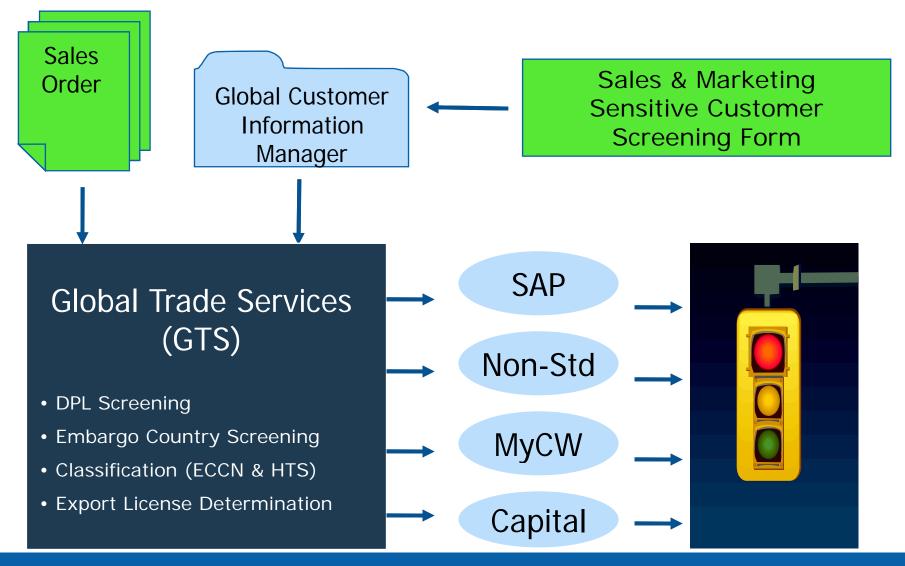
### Stakeholder Buy-In & Gate Keeping

 Once you know then it is important that you manage your key stakeholders.





### **Enterprise System Flow**





### 9 Things I like to Worry About

BCP's & Customer Reference / Warehouse Deliveries Prototypes Transfers Tools, Tech Transfers -Equipment & Design & **Demo Units** Repairable Development Spares Activities Tech Transfers -Movements to & Assembly, Test RMA & CWP between & Manufacturing subcons Activities



### **Key Take Away**

Management Buy-In is Key Whole company responsibility to ensure compliance Specific Department/Personnel need to drive and enforce processes Internal Record Controls **Automation** Audit retention



